



# Eliminating Redundancy by Spectral Relaxation for Multi- Document Summarization

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# Graph-based multi-document summarization

- LexPagerank (Erkan and Radev,2004)
- PageRank and HITS (Mihalcea and Tarau,2005)
  - Constructing graph consisting nodes and links
  - Applying graph-based ranking algorithm
  - Chose the sentences with large rank score into the summary

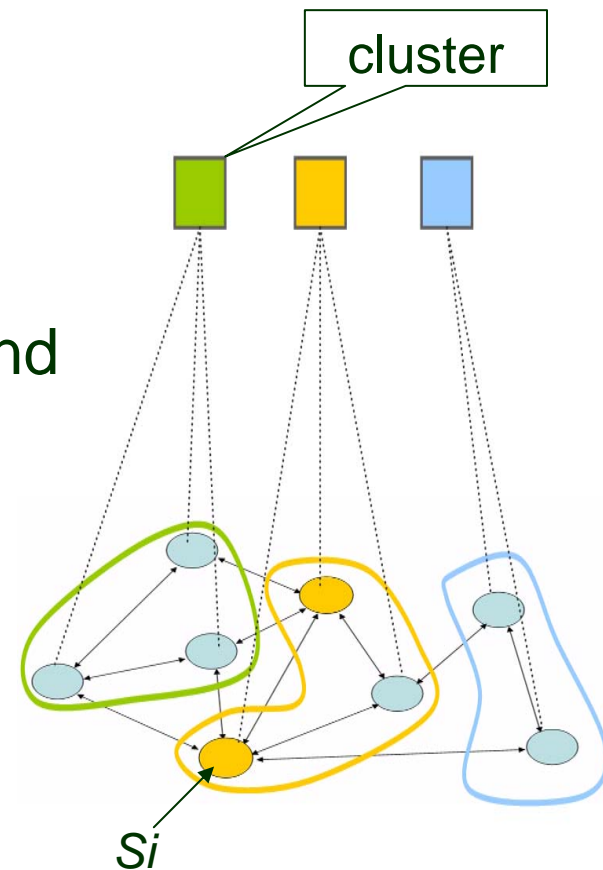
All the sentences are ranked based on a sentence as unit of information.

Semantically related two sentences with “high recommendation” are ranked with high score, and thus are regarded as a summary sentence.

The resulting summary still contains overlapping info.

# Cluster-based Multi-document Summarization

- ClusterCMRW model (X.Wan et al)
  - Classifying documents into theme clusters by using k-means
  - Constructing a graph to reflect the relationships between sentences and clusters by using MRW model
- Spectral Clustering (Weiss et al)
  - A transformation of the original sentences into a set of orthogonal eigenvectors.



# Sentence extraction by ClusterCMRW

1. Weight between two sentences, conditioned on the two clusters containing the two sentences.

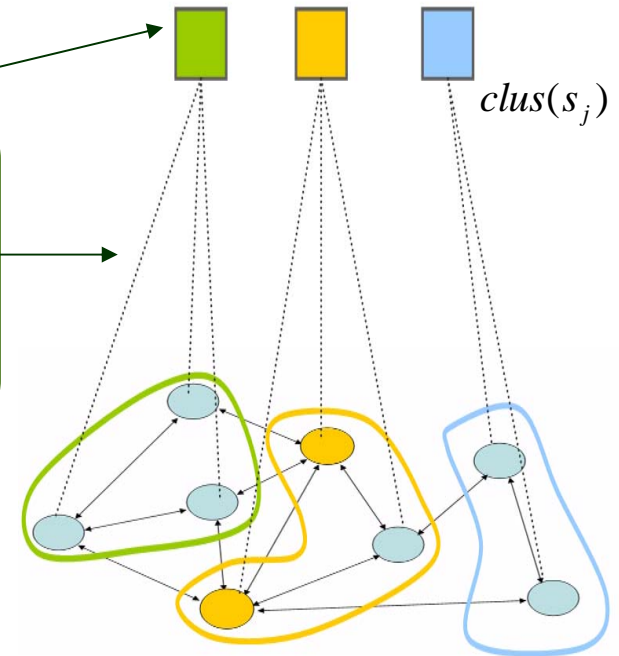
$$f(i \rightarrow j | clus(s_i), clus(s_j)) = f(i \rightarrow j) \cdot \{ \lambda \cdot \pi(clus(s_i)) \cdot \omega(s_i, clus(s_i)) + (1 - \lambda) \cdot \pi(clus(s_j)) \cdot \omega(s_j, clus(s_j)) \}$$

$$\pi(clus(s_i)) = sim_{\cosine}(clus(s_i), D)$$

$$\omega(s_i, clus(s_i)) = sim_{\cosine}(s_i, clus(s_i))$$

$\pi(clus(s_i))$ : The importance of the cluster  $clus(s_i)$  in the document set  $D$

$\omega(s_i, clus(s_i))$ : The correlation between the sentence  $s_i$  and its cluster  $clus(s_i)$



# Sentence extraction by ClusterCMRW

2. The transition probability from  $s_i$  to  $s_j$

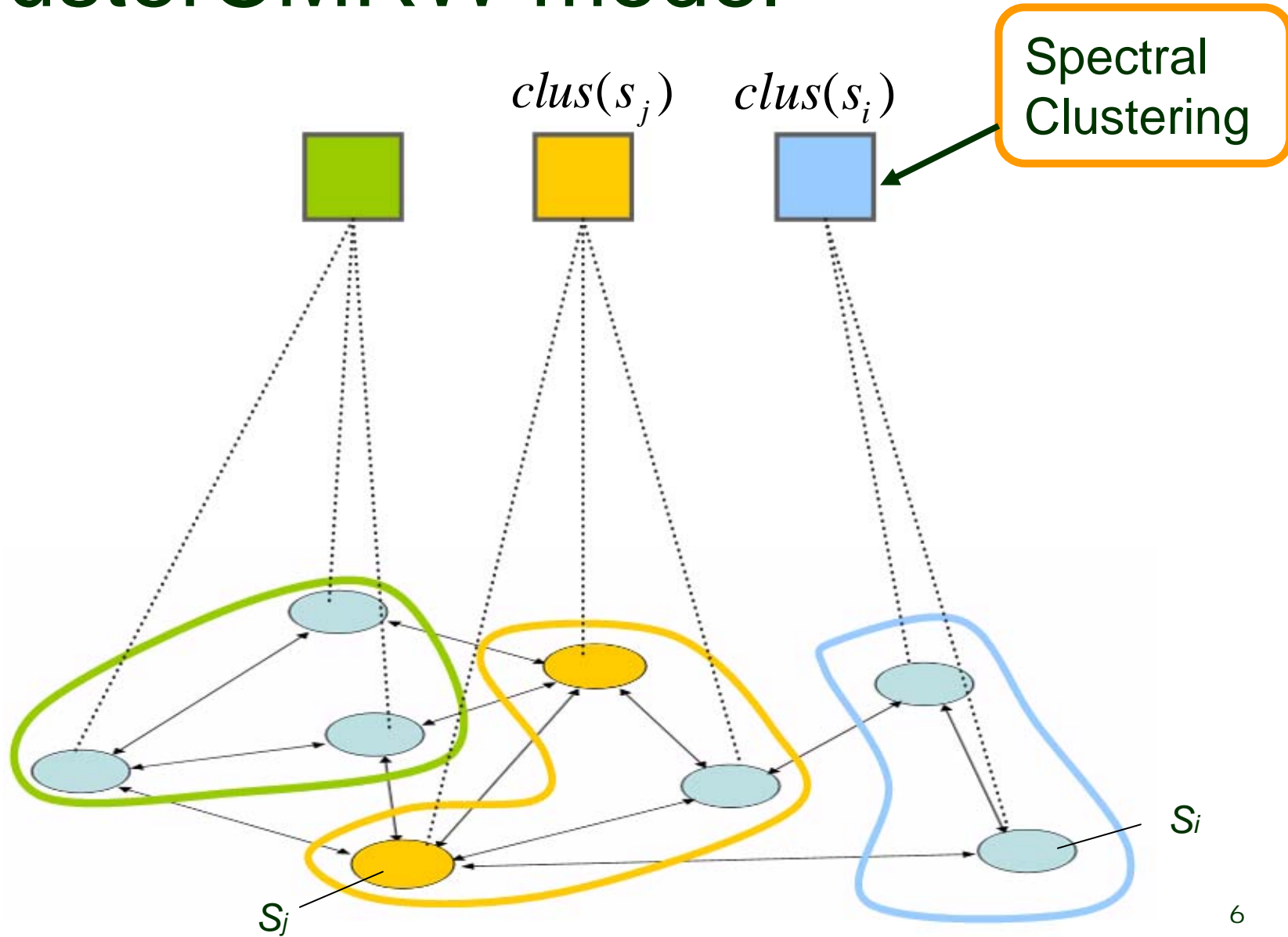
$$p(i \rightarrow j | \text{clus}(s_i), \text{clus}(s_j)) = \frac{f(i \rightarrow j | \text{clus}(s_i), \text{clus}(s_j))}{\sum_{k=1}^{|\mathcal{V}|} f(i \rightarrow k | \text{clus}(s_i), \text{clus}(s_j))}$$

3. The final transition matrix  $\tilde{M}_{ij}$

$$\tilde{M}_{ij} = p(i \rightarrow j | \text{clus}(s_i), \text{clus}(s_j))$$

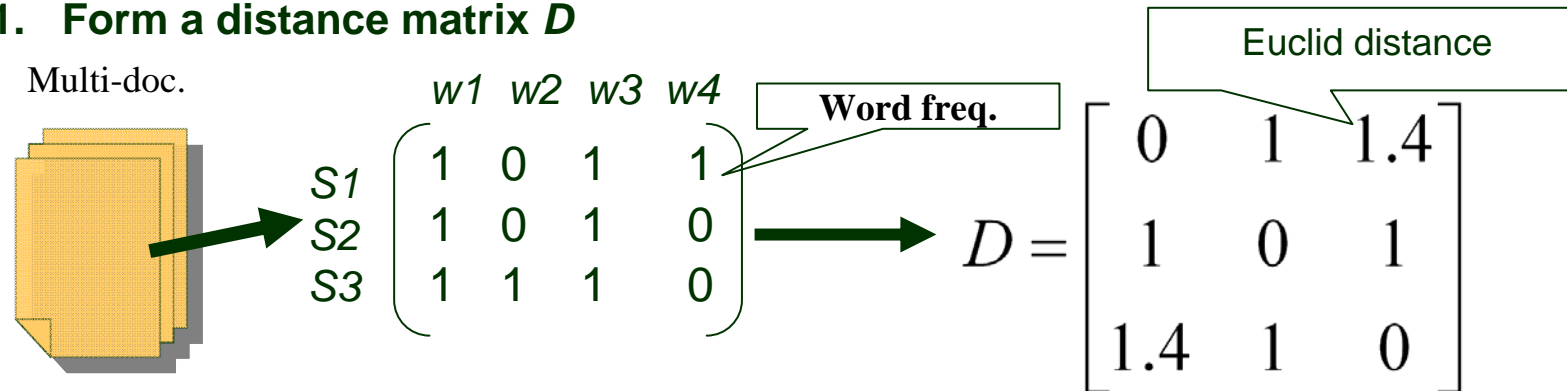
$$\vec{\lambda}^* = \mu \tilde{M}^{*T} + \frac{(1 - \mu)}{|\mathcal{V}|} \vec{e} \vec{e}^T$$

# ClusterCMRW model



# Sentence Classification by Spectral Clustering

## 1. Form a distance matrix $D$



## 2. Feature space and sentence classification

•  $D$  is transformed to an affinity matrix  $A_{ij}$

$$A_{ij} = \begin{cases} \exp\left(-\frac{D_{ij}^2}{2\sigma^2}\right) & \text{if } i \neq j \\ 0 & \text{if } i = j \end{cases}$$

• Create a diagonal matrix  $B$

$$B_{ii} = \sum_{j=1}^n A_{ij}$$

• Create  $L$

$$L = B^{-1/2} A B^{-1/2}$$

- Each item has a vector of  $l$  coordinates in the transformed space.
- These vectors are normalized to unit length, and K-means is applied to  $S$  in  $l$ -dimensional space.

Multi-document summarization by ClusterCMRW



# Experiments

## 1. Data

|                      |  |
|----------------------|--|
| Source data          | NTCIR-3 SUMM FBFREE DryRun and FormalRun (1998-1999 Japanese newspapers) |
| # of topics          | <b>30</b>  |
| # of sentences / doc | 30 to 350 sentences  |
| Ext. of sentences    | NTCIR-3 SUMM FBFREE<br>Long and short according to the character length  |
| # of clusters        | The square root of the number of sentences                               |





# Experiments

## 2. Two evaluation measures:

- Cosine similarity between the generated summary by the system and the human generated summary
- ROUGE score used in DUC

$$ROUGE = \frac{\sum_{S \in \{RefSum\}} \sum_{word \in S} Count_{match}(word)}{\sum_{S \in \{RefSum\}} \sum_{word \in S} Count(word)}$$



# Parameter estimation used in the spectral clustering

- 10 topics to estimate two parameters  $\sigma$  and  $l$  in the  $l$ -dimensional space
  - $\sigma$  is searched in steps of 0.01 from 1.0 to 5.0
  - $l$  is searched in steps 10% from 0 to 80% against the total number of words in the training data
  
- The size that optimized the average F-score of 10 topics was chosen
  - $\sigma$  is set to 4.5
  - $l$  is set to 80%



# Summarization Results

|       | # of doc | # of sent | # of sum | cos   |         |       | ROUGE |         |       |
|-------|----------|-----------|----------|-------|---------|-------|-------|---------|-------|
|       |          |           |          | MRW   | K-means | Sp    | MRW   | K-means | Sp    |
| short | 7.5      | 83.0      | 11.9     | 0.431 | 0.575   | 0.632 | 0.330 | 0.334   | 0.360 |
| long  |          |           | 20.4     | 0.371 | 0.408   | 0.477 | 0.180 | 0.186   | 0.209 |

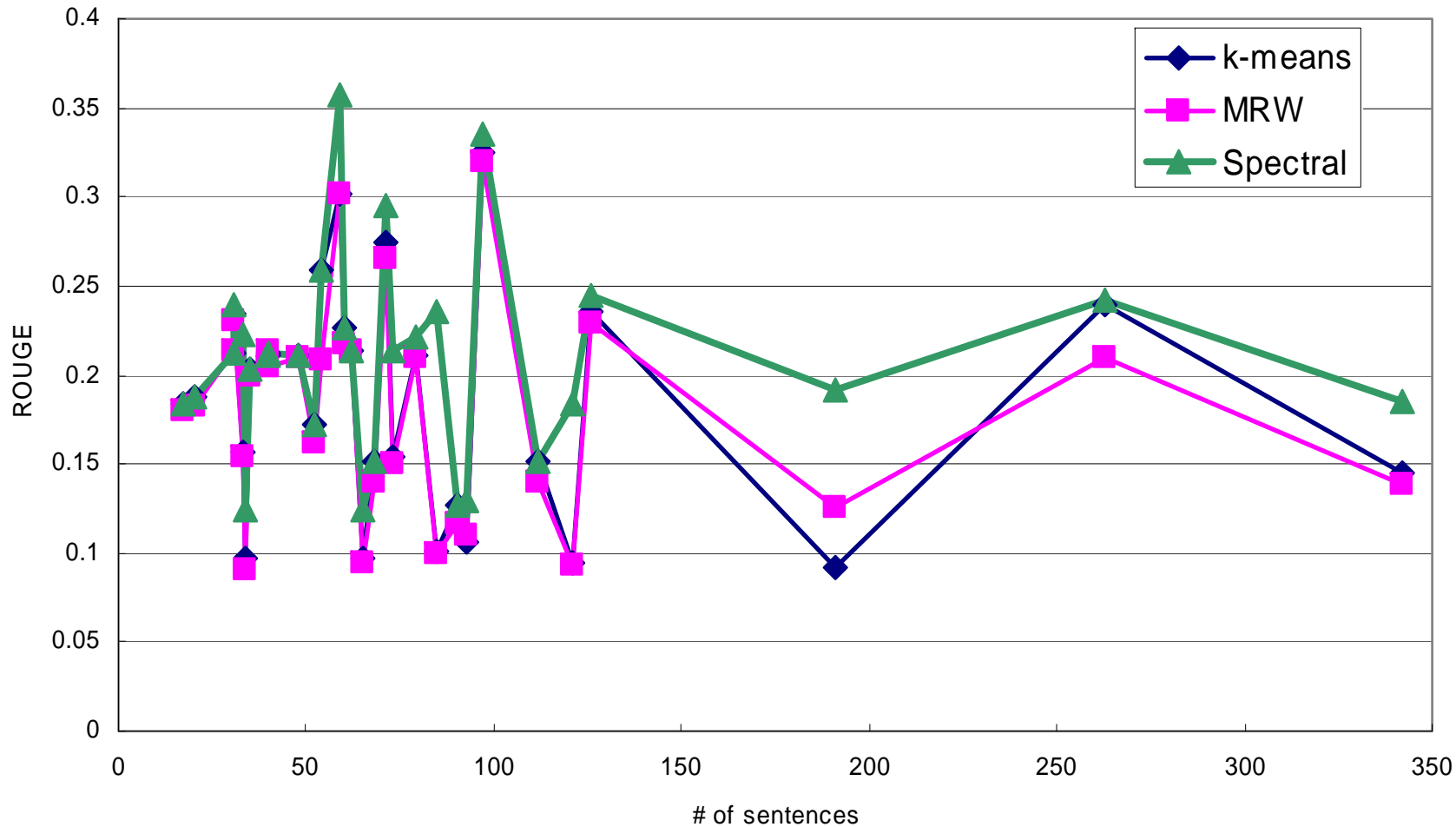
- Sp outperformed the baselines, MRW and k-means, regardless of the types of summary, and evaluation measures
- Short was better than long. The rank score of correct sentences within the candidate sentences obtained by the MRW model works well.



# Sentence Similarities within a summary

|       | # of doc | # of sent | # of sum | Similarity within a summary sentences |       |         |       |
|-------|----------|-----------|----------|---------------------------------------|-------|---------|-------|
|       |          |           |          | Human                                 | MRW   | K-means | Sp    |
| short | 7.5      | 83.0      | 11.9     | 0.129                                 | 0.137 | 0.142   | 0.132 |
| long  |          |           | 20.4     | 0.173                                 | 0.283 | 0.201   | 0.193 |

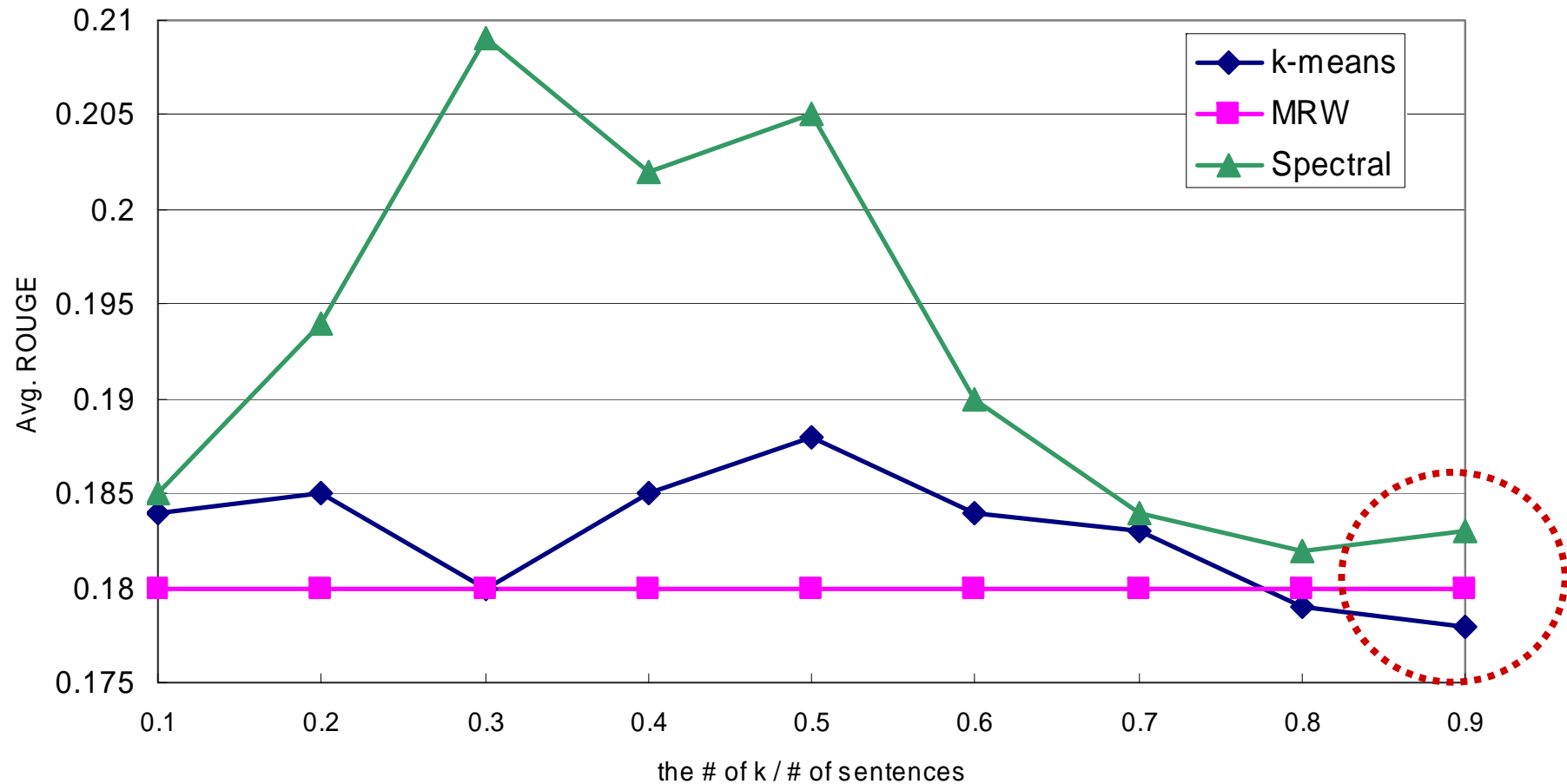
# # of sentences vs ROUGE score



4.2%

- SP is more robust than k-means and simple MRW model even for a large number of input sentences

# # of k vs ROUGE score



- Sp outperformed the results obtained by directly applying MRW.
- The results by k-means was worse than the results of MRW when the ratio of the # of cluster  $k$  against the # of sentences as an input was larger than 80%. For a large number of topics, k-means is not effective.



# Conclusion

- A method to detect salient sentences from documents that discuss the same event
- 10.6% improvement over a baseline MRW (cosine), and 2.9% (ROUGE score)
- Applying the method to the DUC evaluation data
- Extending the method to classify sentences into more than one clusters by using soft-clustering techniques